

Is your entity exempt from the CTA Reporting Requirements?

The Reporting Rule exempts twenty-three (23) specific types of entities from the reporting requirements.

An entity that qualifies for any of these exemptions is not required to submit **BOI** reports to **FinCEN**.

Exemption #	Exemption Short Title
01	Securities reporting issuer
02	Governmental authority
03	Bank
04	Credit union
05	Depository institution holding company
06	Money services business
07	Broker or dealer in securities
08	Securities exchange or clearing agency
09	Other Exchange Act registered entity
10	Investment company or investment adviser
11	Venture capital fund adviser
12	Insurance company
13	State-licensed insurance producer
14	Commodity Exchange Act registered entity
15	Accounting firm
16	Public utility
17	Financial market utility
18	Pooled investment vehicle
19	Tax-exempt entity
20	Entity assisting a tax-exempt entity
21	Large operating company
22	Subsidiary of certain exempt entities
23	Inactive entity